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Extraction of chitin from prawn shells and conversion to low molecular mass chitosan

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Recommended citation:

Mohammed, M.H., Williams, P.A., Tverezovskaya, O. 'Extraction of chitin from prawn shells and conversion to low molecular mass chitosan' Food Hydrocolloids 31 166-171 (2013). doi:10.1016/j.foodhyd.2012.10.021

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Abstract

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Extraction and depolymerisation of chitin and chitosan from prawn shells was carried out using various chemical procedures. Sodium hydroxide and hydrochloric acid solutions were used for deproteination and demineralization, respectively, while acetone was used for decolourisation. The amount of chitin and subsequently chitosan obtained was ~35% and 25% respectively of the dry weight of the shells. The chitin was deacetylated using sodium hydroxide at 100°C and the influence of the concentration of the reagent and duration of the reaction was investigated. The degree of deacetylation (DD) of the chitosan was evaluated by FTIR and NMR spectroscopy and the molecular mass distribution was determined by Gel Permeation Chromatography. It was found that the final DD was significantly higher using 50% sodium hydroxide solution (73% +/-9%) compared to 25% sodium hydroxide solution (40% +/- 5%). It was noted also that the deacetylation reaction was more than 80% completed after 2h but the chitosan produced had higher molecular mass while chitosan produced after 10h had lower molecular mass and higher degree of deacetylation. The molecular mass distribution was bimodal for all the samples and consisted of a broad high molecular mass peak (peak 1) and a sharp low molecular mass peak (peak 2). The Mw of peak 1 decreased from ~ 1.3 x 10⁶ after 2h reaction with sodium hydroxide to 3.1 x 105 after 10h reaction indicating that depolymerisation and deacetylation occurred simultaneously. Peak 2 had a Mw of $\sim 2.4 - 9.9 \times 10^3$.

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1. Introduction

Chitin is the second most abundant of all of the polysaccharides and is found in the shells of crustacea and in the cell walls of certain fungi and algae. It consists of linear chains of (1, 4)—

linked 2-acetylamido-2-deoxy-β-D-glucose (Kean and Thanou, 2011; Muzzarelli, 2009; Rinaudo, 2006, Rinaudo, 2008; Varum and Smidsrod, 2006). Although chitin itself is insoluble in water, on deacetylation it yields chitosan, which is soluble under acidic conditions. Chitosan has been the subject of many studies in recent years because it is biodegradable, biocompatible and has antifungal and antibacterial activity and has been used to prepare hydrogels, films and fibres for use in, for example, packaging and medical applications (Rinaudo, 2006, Rinaudo, 2008). Considerable attention has been paid in recent years to the method of extraction of chitin from the shells of crustacea (Varum and Smidsrod, 2006; Benhabiles et al., (2012)). The shells consist mainly of chitin, calcium carbonate, protein, lipids and pigments and separation is achieved in three steps: 1) deproteination, 2) demineralisation and 3) removal of lipids and pigments. The chitin extraction steps and its subsequent conversion to chitosan can be carried out chemically or using biological methods, such as microbial fermentation and enzymatic reactions (Acharya et al (2005); Zhang et al., 1999; Gildberg and Stenberg, 2001; Rinaudo, 2006). However, biological methods developed so far do not produce good yields and, therefore, are not economical. The mechanism responsible for the antibacterial activity of chitin and chitosan has been the subject of a number of studies in recent years (Lui et al (2001); Raafat et al (2008); Benhabiles et al., (2012)). Raafat et al (2008) studied the influence of chitosan (Mw 50-190 kDa) on the growth of gram positive bacterial strains (Staphylococcus aureus and Staphylococcus simulans). They concluded that initial contact between the polymer and the anionic bacterial cell wall polymers was due to electrostatic interaction and that teichoic acids played a major role, leading to the disruption of the equilibrium of cell wall dynamics and ultimately to bacterial death. In studies using low molecular mass chitosan (Mw < 5000), Lui et al (2001) have argued that the chitosan molecules can penetrate the bacterial cell wall and bind to DNA molecules thus

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blocking transcription of RNA. The importance of Mw is highlighted by recent work of Benhabiles et al., (2012) who investigated the antibacterial activity of chitin, chitosan and chitin/chitosan oligomers against a range of gram positive and gram negative bacteria. The viscosity average molecular mass, Mv, of the chitin and chitosan were reported to be 338 kDa and 12 kDa respectively. The chitin/chitosan oligomers were prepared by acid hydrolysis of the chitin and chitosan but precise values of Mv were not reported. They found that while all the chitin / chitosan samples exhibited some degree of antibacterial activity, the chitin / chitosan oligomers were more effective for a greater range of bacteria. They concluded that chitin and chitosan had bacteriostatic activity and caused the bacteria to flocculate and die through lack of nutrients and oxygen while the chitin / chitosan oligomers acted as bactericidal agents and were able to kill the bacteria by penetrating the cell wall. In view of the fact that low molecular mass chitosan has the most effective antimicrobial activity there is a need to develop an efficient extraction process which includes the depolymerisation of the chitin / chitosan. At present depolymerisation is achieved after the extraction process by acid hydrolysis using HCl or by other chemical (Rogozhin et al (1988), Allan et al (1995, 1997), Sugano et al (1992), enzymatic (Aiba et al (1992), Pantaleone et al (1992), Yalpani et al (1994)) methods or physical methods such as ultrasonication (Chen et al (1997)). Despite the importance of molecular mass on the antimicrobial activity there are very few papers which describe detailed characterisation of the molecular mass distribution of the chitosan. This paper sets out to develop a protocol to produce low molecular mass chitosan as part of the extraction process and to provide a detailed characterisation of the material produced.

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2. Materials and Methods

2.1 Materials

Frozen prawn shells [species *Litopenaeus vannamei*] were provided by Findus Group Ltd and varied in weight from 130g to 500g. They were stored in a freezer before use. Hydrochloric acid, acetic acid and acetone were purchased from Fisher while sodium hydroxide, deuterium oxide

89 (D₂O) and deuterium chloride (DCl) were obtained from Sigma-Aldrich.

2.2 Methods

2.2.1. Step 1: Pre-wash with water

Frozen prawn shells were initially hand washed with hot tap water (~60°C) or boiling water (~95°C) while stirring with a mechanical stirrer to remove free prawn flesh residues, lipids and other materials. Finally they were washed with hot distilled water and then dried in an oven at 60°C to constant weight. Washed and dried shells were either crushed to small pieces or powdered and passed through 60-120µm mesh sieves.

2.2.2. Step 2: Deproteination

The washed and dried powdered prawn shells were treated with 5% sodium hydroxide (NaOH) solution (w/v 1:8) and refluxed at 60°C for 2 hours to remove the remaining proteins and other organic materials. After the reaction, the solution was coloured and frothy, therefore, the sample was washed repeatedly with water until most of the colour and frothing disappeared and the resulting solution was near neutral. The sample was finally washed with distilled water and then dried in a vacuum oven at 60°C to constant weight.

Step 3: Decolouration

Deproteinated shells were treated with acetone at room temperature for 24 hours to remove 106 pigments. The washed shells were filtered and dried in a vacuum oven at 60°C until constant 107 108 weight. 109 **Step 4: Demineralisation** The deproteinated and decolourised material was treated with a 0.5 or 1% HCl solution (w/v 1:4, 110 111

1:10) for 24 hours at 25°C to dissolve the calcium carbonate. The prawn shells were then washed several times with water to remove CaCl₂ and other water soluble impurities.

The resultant chitin was obtained in the form of a very light brown powder. The content of chitin in prawn shells was determined from the weight differences between the dry weight of the raw materials and the resulting weight of chitin obtained.

Alternative procedures were followed in which some of the steps (deproteination and decolouration) were omitted. The experimental details are given in Table 1.

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2.2.3. Deacetylation of Chitin

Chemical deacetylation was achieved by treatment of extracted chitin with sodium hydroxide (NaOH) solution at elevated temperature using a solid to solvent ratio of 1:5. Effects of various parameters such as NaOH concentration (25%, 50%), temperature (80°C and 100°C) and reaction times (2, 5 and 10 hours) on the deacetylation process were investigated. After the reaction the material produced was washed several times with distilled water until near to neutral pH and dried at 60°C in a vacuum oven until constant weight.

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3. Characterisation

3.1. Fourier Transform Infrared Spectroscopy (FTIR)

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Chitin and chitosan samples were ground to a very fine powder with KBr and dried thoroughly. 129 The dried mixture was pressed under vacuum in a mould to form a KBr disc containing the 130 sample. FTIR spectroscopic measurements were also performed on films of soluble chitosan. 131 Chitosan samples with a low degree of deacetylation (DD) were dissolved in 20% HCl while 132 samples with a DD of 50% and above were dissolved in a 1% solution of acetic acid. 133 Subsequently, the films were cast on plastic trays and left to dry at room temperature. 134 Neutralisation of protonated -NH2 before obtaining the FTIR spectra was done by leaving the 135 dried films in 1% NaOH solution for 24 hours. This was followed by repeated washing steps 136 using distilled water and a drying step under vacuum at 40°C for 12 hours or until constant 137 weight. 138

- FTIR spectra were recorded using a Perkin Elmer FTIR Spectrometer over the frequency range of 4000–625 cm⁻¹. 16 scans were accumulated at a resolution of 4 cm⁻¹.
- FTIR spectroscopy was also used to estimate the degree of deacetylation (DD) of chitosan. The
 DD of the chitosan samples was calculated from the absorbances at 1658 and 3450 cm⁻¹
 according to the following equation [Baxter *et al.*, 1992; Muzzarelli 2009]:

144 DD (%) =
$$100 - [(A_{1658}/A_{3450}) \times 115]$$
 (1)

where A_{1658} and A_{3450} are the absorbance at 1658 cm⁻¹ of the amide-I band as a measure of the N-acetyl group content and the absorbance at 3450 cm⁻¹ of the hydroxyl band as an internal standard to correct for film thickness.

The band ratio method of selected bands from their FTIR spectra was also used to determine the DD of the chitosan samples. The bands at 1318 and 1382cm⁻¹ were chosen as measuring and reference bands, respectively [Berth *et al.*, 1998]. To measure the peak intensities for these two bands, baselines were drawn between the 1350–1280 cm⁻¹ and 1490–1350cm⁻¹ wavenumbers, respectively. The DD was determined by using the following equation:

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$$A_{1318}/A_{1382} = 0.3822 + 0.03133 DA$$
 (2)

3.2. NMR spectroscopy

Chitosan samples were analysed by proton Nuclear Magnetic Resonance (¹H –NMR) Spectroscopy on a Bruker Avance DRX-500 (500 MHz) spectrometer. The samples for NMR were prepared by dissolving 7-10 mg of chitosan in 1-10% DCI in D₂O, depending on the solubility of the sample. The degree of deacetylation was calculated by using integrals of the peak of the proton of the CH group connected to nitrogen moiety at 3.11 ppm of the deacetylated monomer unit (H1-D) and of the peak of the three protons of the acetyl group (H-Ac) at 1.99 ppm of the acetylated monomer unit as shown in equation 3.

DD(%) = [(area of 3xH1-D) / (area of 3xH1-D + area of H-Ac)] x100 (3)

3.3 Gel Permeation Chromatography

The molecular mass distributions of the chitosan samples were determined by Gel Permeation Chromatography (GPC) coupled with a multi-angle laser light scattering (MALLS) and refractive index detectors. The chromatography system consisted of a HPLC pump and a Rheodyne injection valve fitted with a100µl loop. The column systems used were TSK G5000-

PWxl and TSK G6000-PWxl analytical columns protected by a guard column, connected in series. Acetate buffer (0.2 M acetic acid/0.1 M sodium acetate, pH 4.8), used as the eluent (filtered through a 0.22µl filter to remove any insoluble material or dust particles), was pumped at a flow rate of 0.5 ml/min through the column systems. Solutions of each chitosan sample, at a concentration of 2 mg/ml, were prepared in the same acetate buffer used as eluent. Dissolved samples were filtered through a 0.22µl filter to remove any insoluble material or dust particles prior to injection. Filtered samples were injected onto the columns at 40°C. The eluting fractions were monitored by using an Optilab DSP interferometric refractometer coupled with a Dawn EOS Enhanced multi-angle laser light scattering photometer (both instruments from Wyatt Technology Corporation). Depending on the degree of acetylation, the refractive index increment (dn/dc) ranged from 0.151 to 0.219 mg/ml and was experimentally determined using the same refractometer and solvent conditions as for the GPC/MALLS, with the exception that a 500µl loop was used for injection. Signals from the light scattering photometer and the refractometer were recorded and analysed on a PC using the software ASTRA supplied by the manufacturer. Eluent was pumped at a flow rate of 0.5 ml/min. Sample concentrations of 5 mg/ml, 2 mg/ml and 1 mg/ml were injected at 40°C.

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4. Results and discussion

4.1. Extraction of chitin from prawn shells

The proportions of the various components obtained at the various stages of the extraction process using different procedures are given in Table 2.

Step 1: Washing with water

The frozen shells were washed with water and it was found that the amount of dried prawn shells left after washing and then drying was ~42 wt% when tap water at ~60°C was used, and ~35% when boiling water (~95°C) was used. This weight loss is attributed to removal of water and residual prawn flesh.

Step 2: Deproteination

The dried shells obtained after washing in Step 1 for Experiments 1-3 and 6, 7 were treated with 5% NaOH to remove proteinaceous components together with lipids and pigments. This removed ~ 45 wt % of the material from the prawn shells that had been washed with boiling water at ~95°C and ~54 wt% of the material that had been washed with hot tap water at ~60°C.

Step 3: Decolourisation

The dried shells obtained after Step 1 and Step 2 in Experiments 5 and 6 respectively were washed with acetone to remove organic material. This removed just a small amount of material (0.7-1.4 wt%).

Step 4: Deminerialisation

The dried shells obtained after Step 1 for experiment 4, Step 2 for experiments 1-3 and 7 and Step 3 for experiments 5 and 6 were treated with HCl to remove inorganic minerals, mainly $CaCO_3$. The concentration of HCl used was 0.5% in experiments 1-6 and 1% in experiment 7. The weight loss at this stage depended very much on the processing history. For experiments 1-3 and 6 the loss was \sim 9%. The loss was greater in experiment 7 using the higher HCl

concentration (13.8%). For experiments 4 and 5, in which the deproteination step had been omitted, the loss was ~18%. This higher value is probably due to loss of organic material in addition to CaCO₃. Interestingly, Mahlous, *et al.*, (2007) found the mineral content to be 14% for chitin extraction from prawn shells using gamma irradiation.

The amount of chitin obtained from the prawn shells for experiments 1-3 and 6-7 was ~ 25% based on the dry weight. The very much higher values reported for Experiments 4 and 5 are due to the fact that the deproteination step had been omitted. Chitin yield from shrimp shells has been reported to be 10-30% depending on the shrimp species and the method used [Acosta 1993, Tolaimate *et al.*, 2000, 2003; Benhabiles *et al.*, (2012)]. The yields of chitin from crab shell, squid and crayfish have been reported to be 10%, 40% and 32%, respectively [Tolaimate *et al.*, 2000, 2003].

Chitin deacetylation

The chitin obtained after Step 4 was deacetylated using either 25% w/v NaOH (Experiments 2 and 3) or 50%w/v (Experiments 1, 4-6) NaOH. The amount of chitosan obtained was ~25wt% of the original weight of the dried prawn shells irrespective of the NaOH concentration.

4.2 Characterisation of chitin and chitosan

The FTIR spectra of powdered prawn shells, chitin and chitosan are presented Figures 1 (a-c). The chitin and chitosan were obtained from the conditions outlined in Experiment 7 and these were chosen since these samples were completely free of calcium carbonate. The FTIR spectrum

of chitin, presented in Figure 1 (b), includes absorbance bands around 3450, 3262, 3114, 2960, 2930, 2888, 1658, 1628, 1560, 1418, 1382, 1318, 1260, 1204, 1158, 1118, 1074, 1026, 952 and 896 cm⁻¹. This is consistent with the structure of α -chitin [Acosta et al., 1993]. In addition there is splitting of the amide I band in the chitin spectrum to give two peaks at 1658 cm⁻¹ which is attributed to the occurrence of intermolecular hydrogen bonds CO...HN and at 1628 cm⁻¹ due to the intramolecular hydrogen bond CO...HOCH₂ [Focher et al., 1992] and is characteristic of αchitin. The bands due to NH stretching at 3262 cm⁻¹ and 3114 cm⁻¹ are also characteristic of the α-chitin spectrum [Focher et al., 1992]. The bands around 1798, 1420-1430 and 876 cm⁻¹ in Figure 1(a) for the powdered shells are due to mineral (CaCO₃) are not present in chitin after demineralisation with 1% HCl while these absorbance are present if demineralisation is carried out using 0.5% HCl. The absence of a peak around 1540 cm⁻¹ indicates that protein has been removed. The absorption peaks due to mineral and proteins were present in chitin extracted in Experiments 4 and 5 in which Step 2, the deproteination step was omitted. The FTIR spectrum of chitosan (Figure 1 (c)) shows extra bands in the region 1606-1566 cm⁻¹ due to primary amine groups while the absorptions at 3450, 3262, 3114 and 1658 cm⁻¹ due to amide groups are missing from the deacetylated chitin while absorption at 1632 cm⁻¹ due to -NH₂ deformation of primary amines appears. Absorption at 3398 cm⁻¹ appears due to -NH₂ stretching absorption in amines in chitosan. The degree of deacetylation of the chitin samples determined from the FTIR spectra was lower for samples treated with 25% NaOH (Experiments 2 and 3) compared to the other samples treated with 50% NaOH as illustrated in Table 3. For some of the experiments the degree of deacetylation was followed as a function of time and was found to increase slightly between 2h and 10h (Table 3). The value obtained after 2h was more than 80% of the final value.

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The ¹H NMR spectra for two of the samples are given in Figures 2 (a) and (b). The degree of deacetylation was determined using equation 3 above and the values obtained are significantly higher than those from FTIR. The GPC RI elution profiles of the chitosan samples obtained from Experiment 6 at different reaction times are shown in Figure 3. The profiles show a bimodal distribution with a broad low intensity elution peak (peak 1) at elution times of ~ 11-22mins and a high intensity elution peak (peak 2) at ~23mins. The polymer molecules eluting at peak 1 for the 2h reaction time have a Mw of 1.3 x 10⁶ while those corresponding to peak 2 have a Mw of 3.5 x 10³ (Table 4). At the longer reaction times of 5h and 10h, peak 1 elutes at higher elution times, corresponding to Mw values of 9.6 x 10⁵ and 3.1 x 10⁵ respectively, while peak 2 elutes at the same elution time but increases in intensity. It is evident, therefore, that depolymerisation is occurring as well as deacetylation as the reaction proceeds. The molecular mass profiles of the other samples at a reaction time of 10h are presented in Figure 4 and show similar profiles and behaviour. Brugnerotto et al., (2001) determined the molecular mass distribution for a number of commercial chitosan samples and also found a broad Mw distribution with average Mw values ranging from $0.6 - 2.1 \times 10^5$. Nguyen et al., (2009) provide a review of papers in the literature that report the Mw distribution of chitosan using GPC. Very few, however, actually show elution profiles. Nguyen et al., (2009) themselves, determined the molecular mass distribution of a number of commercial samples of chitosan and reported Mw values of $\sim 2.0-3.5 \times 10^5$. Whereas for their system most of the chitosan eluted at 14-22 mins they also had a low Mw fraction eluting at ~29-30 mins before the salt peak but made no reference to this latter component.

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Conclusions

The work described in this paper has demonstrated that chitin can be effectively extracted from prawn shells following deproteination using 5% NaOH and demineralisation using 1% HCl. Low molecular mass chitosan samples with DD >64% and Mw of the major component < 10⁴ can be obtained by treating the chitin with 50% NaOH at 100°C for up to 10h.

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Acknowledgements

- 289 The authors are grateful for the financial support to undertake this work from the Technology
- 290 Strategy Board [TP14/SMP/6/I/BA143E] and the involvement of the industrial collaborators.

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Table 1. Experimental procedure for extraction of chitin from prawn shells and its conversion to chitosan.

Experiments	Experimental details
Experiment 1	Frozen prawn shells crushed and washed with hot tap water (~60°C), deproteinated with 5% NaOH, demineralised with 0.5% HCl (w/v 1:4), deacetylated with 50% NaOH at 100°C for 2, 5 and 10 hours.
Experiment 2	Frozen prawn shells crushed and washed with hot tap water (~60°C), dried and then powdered, deproteinated with 5% NaOH, demineralised with 0.5% HCl (w/v 1:4), deacetylated with 25% NaOH for 2, 5 and 10 h at 80°C.
Experiment 3	Frozen prawn shells crushed and washed with hot tap water (\sim 60°C), dried and then powdered, demineralised with 0.5% HCl (w/v 1:4), deacetylated with 25% NaOH for 2, 5 and 10 h at 100°C.
Experiment 4	Frozen prawn shells washed with boiling water (~95°C), dried and then powdered, demineralised with 0.5% HCl (w/v 1:4), deacetylated with 25% NaOH for 2, 5 and 10 h at 100°C.
Experiment 5	Frozen prawn shells washed with boiling water (~95°C), dried and then powdered, decoloured with acetone, demineralised with 0.5% HCl (w/v 1:4), deacetylated with 50% NaOH for 2, 5 and 10 hours at 100°C.
Experiment 6	Frozen prawn shells were washed with hot tap water (~60°C), dried and then powdered, deproteinated with 5% NaOH, decoloured with acetone, demineralised with 0.5% HCl (w/v 1:4), deacetylated with 50% NaOH for 2, 5 and 10 hours at 100°C.
Experiment 7	Frozen prawn shells were washed with boiling water (~95°C), dried and then powdered, deproteinated with 5% NaOH, demineralised with 1% HCl (w/v 1:10), deacetylated with 50% NaOH for 2, 5 and 10 hours at 100°C.

Table 2. Experimental results for extraction of chitin from prawn shells and its conversion to chitosan

Experiments:	1	2	3	4	5	6	7
Step 1:Pre-wash with water % wt solids remaining after washing frozen shells with water and drying * = water at ~60°C + = water at ~95°C	42.8*	42.2*	42.2*	35.1+	35.3 ⁺	35.6 ⁺	35.0 ⁺
Step 2:Deproteination % wt of dried shells remaining after treatment with 5% NaOH to remove proteinaceous material	45.44	44.8	44.8	Step omitted	Step omitted	54.5	54.3
Step 3:Decolouration % wt loss of dried deproteinated shells after treatment with acetone to decolourise	Step omitted	Step omitted	Step omitted	Step omitted	1.4	0.7	Step omitted
Step 4: Demineralisation % wt loss of shells after treatment with HCl to demineralise	8.8	9.8	9.8	18	17.6	9.0	13.8
% chitin recovered based on dried shells	36.1	35.03	35.03	82	81	38.15	31.8
% Chitosan recovered after deacetylation of chitin after 10 hours	67.57	73.13	72.79	32.25	31.80	60.7	
% Chitosan based on dried shells after 10 hours	24.39	25.15	25.04	26.44	25.76	24.29	-

372 Table 3. Degree of deacetylation of chitosan as a function of reaction time

Expt.	Time (h)	Average DD (%) by FTIR	DD (%) by ¹ H NMR	comments
1	2	73	94	
2	2	34		N/S
	5	38		N/S
	10	41		N/S
3	2	36		N/S
	5	40		N/S
	10	45		N/S
4	2	64		
	5	69		
	10	73		
5	2	64		
	5	69		
	10	73		
6	5	77		
	10	80	98	
7	2	75		
	5	79		
	10	82		

N/S =samples not fully soluble in 0.2 M acetic acid/0.1 M sodium acetate, pH 4.8

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376 Table 4. Mwt. of chitosan samples as a function of reaction time

Expt.	Time (h)	Average Mw of Peak 1	Average Mw Of Peak 2	% area Peak 1	%area Peak 2
1	2	1.3×10^6	$8.7x10^3$	55	45
4	10	5.0×10^5	$9.9x10^{3}$	50	50
5	10	4.8x10 ⁵	8.4×10^3	50	50
6	2	1.3x10 ⁶	3.5×10^3	18	82
6	5	9.6x10 ⁵	5.4×10^3	16	84
6	10	3.1x10 ⁵	5.9×10^3	15	85
7	10	1.9x10 ⁵	2.4×10^3	21	79

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Figure 1. IR spectra of (a) powdered prawn shells, (b) extracted chitin and (c) chitosan from prawn shells

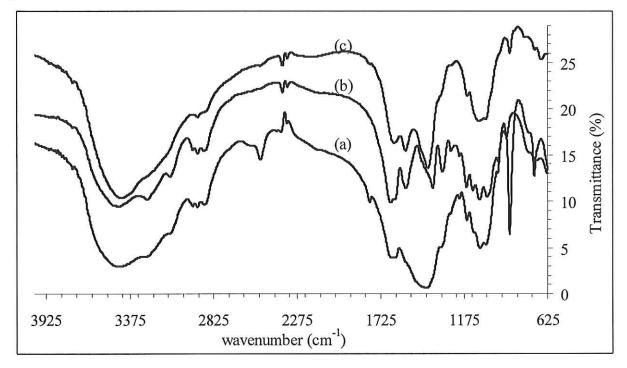
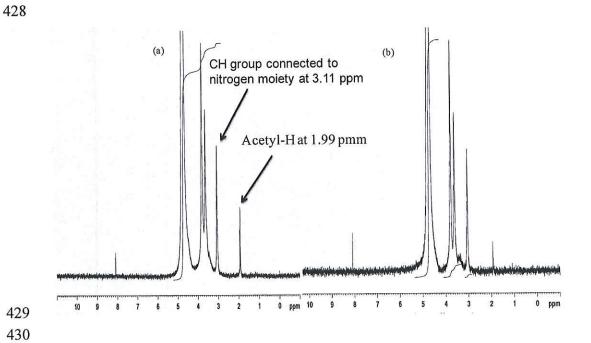


Figure 2. ¹H NMR spectra of chitosan produced at 100°C for (a) 2 hours and (b) 10 hours



431 Figure 3. GPC RI elution profiles of chitosan from Experiment 6 at varying reaction times

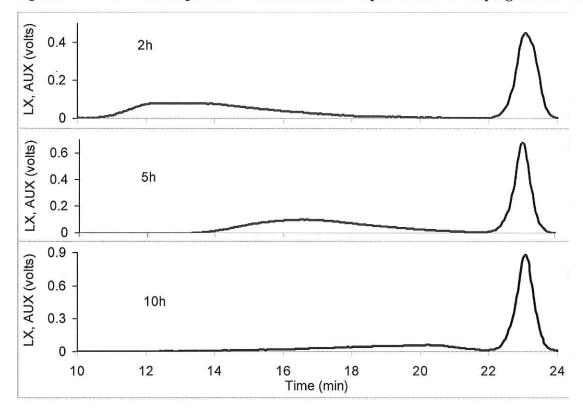


Figure 4. Molecular mass distribution of the various chitosan samples on reaction with 50%NaOH for 10h

